

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. Jenn002  
First Inventor Jennifer Hope Harris  
Title Small Trash Receptacles  
Express Mail Label No. ET080584590US

U.S. PTO  
10/25/03  
22389  
10/25/03

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 19]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Sheets 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: .....

Prior application information:

Examiner

Art Unit:

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The Incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number:  OR ☒ Correspondence address below

Name	Paul V. Keller		
Address	4585 Liberty Rd.		
City	South Euclid	State	Ohio
Country	US	Zip Code	44121
	Telephone	(216) 691-8888	Fax (216) 691-5984

Name (Print/Type)	Paul V. Keller	Registration No. (Attorney/Agent)	42,713
Signature	<i>Paul V. Keller</i>	Date	10/25/03

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

15866 U.S. PTO

PTO/SB/17 (10-03)  
Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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# FEE TRANSMITTAL

## for FY 2004

*Effective 10/01/2003. Patent fees are subject to annual revision.*

☒ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT**

(\$) 617

**Complete if Known**

Application Number	Herewith
Filing Date	October 25, 2003
First Named Inventor	Jennifer Hope Harris
Examiner Name	
Art Unit	
Attorney Docket No.	Jenn002

**METHOD OF PAYMENT** *(check all that apply)*☒ Check ☐ Credit card ☐ Money ☐ Other ☐ None

☐ **Deposit Account:**

Deposit Account Number	Deposit Account Name	Amount	Account Type	Interest Rate	Term	Penalty	Other Notes
1234567890	ABC Bank	1000.00	Checking	0.00%			
9876543210	XYZ Bank	500.00	Savings	2.00%	12 Months	3 Months	
0987654321	DEF Bank	250.00	Money Market	3.00%			
5678901234	GHI Bank	750.00	Certificate of Deposit	4.00%	24 Months	6 Months	
4321098765	JKL Bank	150.00	IRA	1.00%			
3210987654	MNO Bank	300.00	529 Plan	0.00%			
2109876543	PQR Bank	600.00	Health Savings Account	0.00%			
1098765432	STU Bank	400.00	Ugma	0.00%			
0987654321	VWX Bank	200.00	529 Plan	0.00%			
9876543210	YZA Bank	100.00	Ugma	0.00%			
8765432109	BCD Bank	50.00	Ugma	0.00%			
7654321098	EFG Bank	25.00	Ugma	0.00%			
6543210987	HIJ Bank	12.50	Ugma	0.00%			
5432109876	KLM Bank	6.25	Ugma	0.00%			
4321098765	NOP Bank	3.12	Ugma	0.00%			
3210987654	QRS Bank	1.56	Ugma	0.00%			
2109876543	TUV Bank	0.78	Ugma	0.00%			
1098765432	WXY Bank	0.39	Ugma	0.00%			
0987654321	ZAB Bank	0.19	Ugma	0.00%			
9876543210	ABC Bank	0.09	Ugma	0.00%			
8765432109	DEF Bank	0.05	Ugma	0.00%			
7654321098	GHI Bank	0.02	Ugma	0.00%			
6543210987	JKL Bank	0.01	Ugma	0.00%			
5432109876	MNO Bank	0.00	Ugma	0.00%			
4321098765	PQR Bank	0.00	Ugma	0.00%			
3210987654	STU Bank	0.00	Ugma	0.00%			
2109876543	VWX Bank	0.00	Ugma	0.00%			
1098765432	YZA Bank	0.00	Ugma	0.00%			
0987654321	BCD Bank	0.00	Ugma	0.00%			
9876543210	EFG Bank	0.00	Ugma	0.00%			
8765432109	HIJ Bank	0.00	Ugma	0.00%			
7654321098	KLM Bank	0.00	Ugma	0.00%			
6543210987	NOP Bank	0.00	Ugma	0.00%			
5432109876	QRS Bank	0.00	Ugma	0.00%			
4321098765	STU Bank	0.00	Ugma	0.00%			
3210987654	VWX Bank	0.00	Ugma	0.00%			
2109876543	YZA Bank	0.00	Ugma	0.00%			
1098765432	BCD Bank	0.00	Ugma	0.00%			
0987654321	EFG Bank	0.00	Ugma	0.00%			
9876543210	HIJ Bank	0.00	Ugma	0.00%			
8765432109	KLM Bank	0.00	Ugma	0.00%			
7654321098	NOP Bank	0.00	Ugma	0.00%			
6543210987	QRS Bank	0.00	Ugma	0.00%			
5432109876	STU Bank	0.00	Ugma	0.00%			
4321098765	VWX Bank	0.00	Ugma	0.00%			
3210987654	YZA Bank	0.00	Ugma	0.00%			
2109876543	BCD Bank	0.00	Ugma	0.00%			
1098765432	EFG Bank	0.00	Ugma	0.00%			
0987654321	HIJ Bank	0.00	Ugma	0.00%			
9876543210	KLM Bank	0.00	Ugma	0.00%			
8765432109	NOP Bank	0.00	Ugma	0.00%			
7654321098	QRS Bank	0.00	Ugma	0.00%			
6543210987	STU Bank	0.00	Ugma				

**The Director is authorized to:** (check all that apply)

☐ Charge fee(s) indicated below      ☐ Credit any overpayments

☐ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, **except for the filing fee** to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	385
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

<b>SUBTOTAL (1)</b>	<b>(\$)</b> 385
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## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below	Fee Paid
Total Claims	41	-20** =	21	9	189
Independent Claims	4	-3** =	1	43	43
Multiple Dependent					

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

<b>SUBTOTAL (2)</b>	<b>(\$)</b> 232
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**\*\*or number previously paid, if greater; For Reissues, see above**

**FEE CALCULATION** (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	420	2252	210	Extension for reply within second month
1253	950	2253	475	Extension for reply within third month
1254	1,480	2254	740	Extension for reply within fourth month
1255	2,010	2255	1,005	Extension for reply within fifth month
1401	330	2401	165	Notice of Appeal
1402	330	2402	165	Filing a brief in support of an appeal
1403	290	2403	145	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive - unavoidable
1453	1,330	2453	665	Petition to revive - unintentional
1501	1,330	2501	665	Utility issue fee (or reissue)
1502	480	2502	240	Design issue fee
1503	640	2503	320	Plant issue fee
1460	130	1460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))
1801	770	2801	385	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

<b>SUBTOTAL (3)</b>	<b>(\$)</b> 617
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## SUBMITTED BY

Name (Print/Type) Paul V. Keller

**Signature**

Paul v. Kell

Registration No.  
(Attorney/Agent)

42,713

(Complete (if applicable))

**Telephone** 216-691-8888

Date \_\_\_\_\_

10/25/01

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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